

COPY OF PAPER  
ORIGINALLY FILED

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,843	12/27/2001	Craig Dillon	ieWILD-001-PAP

Martin J. Jaquez, Esq.  
JAQUEZ & ASSOCIATES  
Suite 2640  
750 B Street  
San Diego, CA 92101



CONFIRMATION NO. 9057

## FORMALITIES LETTER



\*OC00000007378782\*

Date Mailed: 01/29/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

Adjustment date: 07/16/2002 MTEKLEMI  
06/27/2002 YPOLITE1 00000022 10032843  
01 FC:105 ~~130.00 OP~~

A copy of this notice **MUST** be returned with the reply.

07/16/2002 MTEKLEMI 00000016 10032843

01 FC:205

65.00 OP

TCS Page Amberber

Customer Service Center

Repln. Ref: 07/16/2002 MTEKLEMI 001075900 (703) 308-1202

DA#: 192555 Name/Number: 10032843

FC: 704

\$65.00 CR

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/27/2002 YPOLITE1 00000022 10032843

01 FC:105

130.00 OP



COPY OF PAPERS  
ORIGINAL FILED

PATENT

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANT(S): Craig Dillon et al.  
APPLICATION NO.: 10/032,843  
FILING DATE: December 27, 2001  
TITLE: System and Method for Obtaining Keyword Descriptions of Records  
from a Large Database  
CONFIRMATION NO: 9057  
EXAMINER: Not yet known  
GROUP ART UNIT: 2161  
ATTY. DKT. NO.: 13553-06830

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, DC 20231, on the date shown below:

Dated: 6/17/02

By: Robert A. Hulse  
Robert A. Hulse, Reg. No. 48,473

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION

SIR:

Responsive to the Notice to File Missing Parts dated January 29, 2002, received in the  
above-identified patent application,

- ☒ Enclosed are:
- ☒ a copy of the Notice to File Missing Parts;
  - ☒ an original, signed Declaration;
  - ☒ an original, signed Power of Attorney;

COPY OF PAPERS  
ORIGINALLY FILED

☒ payment in the amount of \$ 1050.00 for the

☐ application filing fee;

☒ fee for three months extension of time

☒ missing parts surcharge.

Respectfully submitted,  
CRAIG DILLON, RICHARD HOWE, NICOLAAS  
A. VAN GOOR, SACHIN SARNOBAT,  
RUSSELL W. ANDERSON, JASON  
LENDERMAN, AND GORDON CAMERON

Dated: \_\_\_\_\_

6/17/02

By: \_\_\_\_\_

*Robert A. Hulse*

ROBERT A. HULSE, Reg. No. 48,473  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (415) 875-2358  
Fax: (415) 281-1350

13553/01000/SF/5077657.1



Receipt  
FILE COPY + (4)

PTO/SB/21 (modified)  
Approved for use through xx/xx/xx, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence during pendency of filed application)	0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	10/032,843
			Filing Date	December 27, 2001
			First Named Inventor	Craig Dillon et al.
			Confirmation No.	9057
			Group Art Unit Number	2161
			Examiner Name	Not yet known
Total Number of Pages in This Submission		5	Attorney Docket Number	13553-06830

ENCLOSURES (check all that apply)	
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ]
<input type="checkbox"/> Copy of Notice to File Missing Parts	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/>
<input checked="" type="checkbox"/> Redmarked copy of the Official Filing Receipt	<input type="checkbox"/>
<input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/>
REMARKS:.	

RECEIVED  
JUL 08 2002  
GROUP 3600

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Robert A. Hulse, Registration No. 48,473	Dated:	6/17/02

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: OIPE Customer Service Center, Commissioner for Patents, Washington, D.C. 20231 on the date shown below			
Signature:			
Typed or Printed Name:	Robert A. Hulse	Dated:	6/17/02

13553/01000/SF/5077679.1

COPY OF PAPERS  
ORIGINALLY FILEDPTO/SB/17 (10-01)(modified)  
OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)  
Rev. 10/2001U.S. Department of Commerce  
Patent and Trademark Office

## FEE TRANSMITTAL

## TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 1050.00)

## Complete if Known

Application Number	10/032,843
Filing Date	December 27, 2001
First Named Inventor	System and Method for Obtaining Keyword Descriptions of Records from a Large Database
Confirmation No.	9057
Group Art Unit	2161
Examiner Name	Not yet known
Attorney Docket Number	13553-06830

## METHOD OF PAYMENT

## 1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.<sup>†</sup>
- ☐ Applicant claims small entity status  
See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK &amp; WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:☒ Check ☐ Credit Card ☐ Other

## FEE CALCULATION (fees effective 10/01/2001)

## 1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$740	201/\$370	Utility Filing	
106/\$330	206/\$165	Design Filing	
108/\$740	208/\$370	Reissue	
114/\$160	214/\$80	Provisional Filing	
SUBTOTAL (1)			(\$ 0.00)

## 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$84	202/\$42	Independent claims in excess of 3
104/\$280	204/\$140	Multiple dependent claim
109/\$84	209/\$42	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original

## 3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	130
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	
116/\$400	216/\$200	Extension for response within second month <sup>†</sup>	
117/\$920	217/\$460	Extension for response within third month <sup>†</sup>	920
118/\$1,440	218/\$720	Extension for response within fourth month <sup>†</sup>	
128/\$1,960	228/\$980	Extension for response within fifth month <sup>†</sup>	
119/\$320	219/\$160	Notice of Appeal	
141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application	
142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)	
143/\$460	243/\$230	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
126/\$180	126/\$180	Submission of Information Disclosure Statement	
179/\$740	279/\$370	Request for Continued Examination (RCE)	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			(\$ 1050.00)

(Col. 1)		(Col. 2)		(Col. 3)		Fee	Fee Due
For	No. of Existing Claims		Highest No. Previously Paid For	Extra**			
TOTAL		minus*	20 or 0	=	0		0
INDEP		minus*	3 or 0	=	0		0
[ ] First presentation of multiple dependent claim							

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 0.00)

## SUBMITTED BY

Typed or Printed Name Robert A. Hulse

Signature

## Complete (if applicable)

Reg. Number 48,473

Date

6/17/02

<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANT(S): Craig Dillon et al.  
APPLICATION NO.: 10/032,843  
FILING DATE: December 27, 2001  
TITLE: System and Method for Obtaining Keyword Descriptions of  
Records from a Large Database  
CONFIRMATION NO: 9057  
EXAMINER: Not yet known  
GROUP ART UNIT: 2161  
ATTY. DKT. NO.: 13553-06830

RECEIVED  
JUL 08 2002  
GROUP 3600

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on the date shown below:

Dated: 6/17/02

By: Robert A. Hulse  
Robert A. Hulse, Reg. No.: 48,473

COMMISSIONER FOR PATENTS  
OFFICE OF INITIAL PATENT EXAMINATION  
CUSTOMER SERVICE CENTER  
WASHINGTON, DC. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

SIR:

Enclosed is a copy of the Official Filing Receipt. It contains the following error:

The priority data for this application is incorrect. It should read:

This application claims benefit of 60/258,575 filed: 12/28/2000. A redmarked copy of the Official Filing Receipt is enclosed for your convenience.

Please issue a corrected Filing Receipt rectifying this error.

Respectfully submitted,  
CRAIG DILLON, RICHARD HOWE,  
NICOLAAS A. VAN GOOR, SACHIN  
SARNOBAT, RUSSEL W. ANDERSON, JASON  
LENDERMAN, GORDON CAMERON

Dated: 6/17/02

By: Robert A. Hulse

Robert A. Hulse, Reg. No.: 48,473

Fenwick & West LLP

Two Palo Alto Square

Palo Alto, CA 94306

Tel.: (415) 875-2444

Fax.: (415) 281-1350

13553/01000/SF/5077676.1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/032,843	12/27/2001	2161	940	ieWILD-001-PAP	25	60	8

Martin J. Jaquez, Esq.  
JAQUEZ & ASSOCIATES  
Suite 2640  
750 B Street  
San Diego, CA 92101



CONFIRMATION NO. 9057

## FILING RECEIPT



\*OC000000007378781\*

Date Mailed: 01/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Craig Dillon, San Diego, CA;  
Richard Howe, San Diego, CA;  
Nicolaas A. van Goor, San Diego, CA;  
Sachin Sarnobat, San Diego, CA;  
Russell W. Anderson, Oceanside, CA;  
Jason Lenderman, San Diego, CA;  
Gordon Cameron, Brookhaven, PA;

RECEIVED

JUL 08 2002

GROUP 3600

Domestic Priority data as claimed by applicant

60/258,575 12/28/2000

THIS APPLN CLAIMS BENEFIT OF 60/258,575 12/28/2000 \*

AND CLAIMS BENEFIT OF 60/265,780 02/01/2001

(\*) Data inconsistent with PTO records.

## Foreign Applications

If Required, Foreign Filing License Granted 01/26/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No



**\*\* SMALL ENTITY \*\*****Title**

System and method for obtaining keyword descriptions of records from a large database

**Preliminary Class**

705



---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**RECEIVED**

JUL 0 8 2002

**GROUP 3000**